

# High-frequency off-target mutagenesis induced by CRISPR-Cas nucleases in human cells

Yanfang Fu<sup>1-4</sup>, Jennifer A Foden<sup>1-3</sup>, Cyd Khayter<sup>1-3</sup>, Morgan L Maeder<sup>1-3,5</sup>, Deepak Reyon<sup>1-4</sup>, J Keith Joung<sup>1-5</sup> & Jeffrey D Sander<sup>1-4</sup>

**Clustered, regularly interspaced, short palindromic repeat (CRISPR) RNA-guided nucleases (RGNs) have rapidly emerged as a facile and efficient platform for genome editing. Here, we use a human cell-based reporter assay to characterize off-target cleavage of CRISPR-associated (Cas)9-based RGNs. We find that single and double mismatches are tolerated to varying degrees depending on their position along the guide RNA (gRNA)-DNA interface. We also readily detected off-target alterations induced by four out of six RGNs targeted to endogenous loci in human cells by examination of partially mismatched sites. The off-target sites we identified harbored up to five mismatches and many were mutagenized with frequencies comparable to (or higher than) those observed at the intended on-target site. Our work demonstrates that RGNs can be highly active even with imperfectly matched RNA-DNA interfaces in human cells, a finding that might confound their use in research and therapeutic applications.**

Recent work has demonstrated that CRISPR-Cas systems<sup>1-3</sup> can serve as the basis of a simple and highly efficient method for performing genome editing in bacteria, yeast and human cells, as well as *in vivo* in whole organisms such as fruitflies, zebrafish and mice<sup>4-13</sup>. The Cas9 nuclease from *Streptococcus pyogenes* (hereafter, Cas9) can be guided by means of simple base-pair complementarity between the first 20 nucleotides of an engineered gRNA and a target genomic DNA sequence of interest that lies next to a protospacer adjacent motif matching the sequence NGG<sup>4-13</sup> (Supplementary Fig. 1). Previous studies performed *in vitro*<sup>14</sup>, in bacteria<sup>7</sup> and in human cells<sup>10</sup> have shown that Cas9-mediated cleavage can be abolished by single mismatches at the gRNA-target site interface, particularly in the last 10–12 nucleotides located in the 3' end of the 20-nt gRNA targeting region. Although a systematic investigation of Cas9 RGN specificity in bacteria has recently been carried out<sup>7</sup>, the specificities of RGNs in human cells have not been extensively defined and, to our knowledge, bona fide off-target mutations induced by Cas9 have not been identified in any eukaryotic cell or organism. Understanding the scope of RGN-mediated off-target effects in human and other eukaryotic cells will be critically essential if these nucleases are to be used widely for research and therapeutic applications.

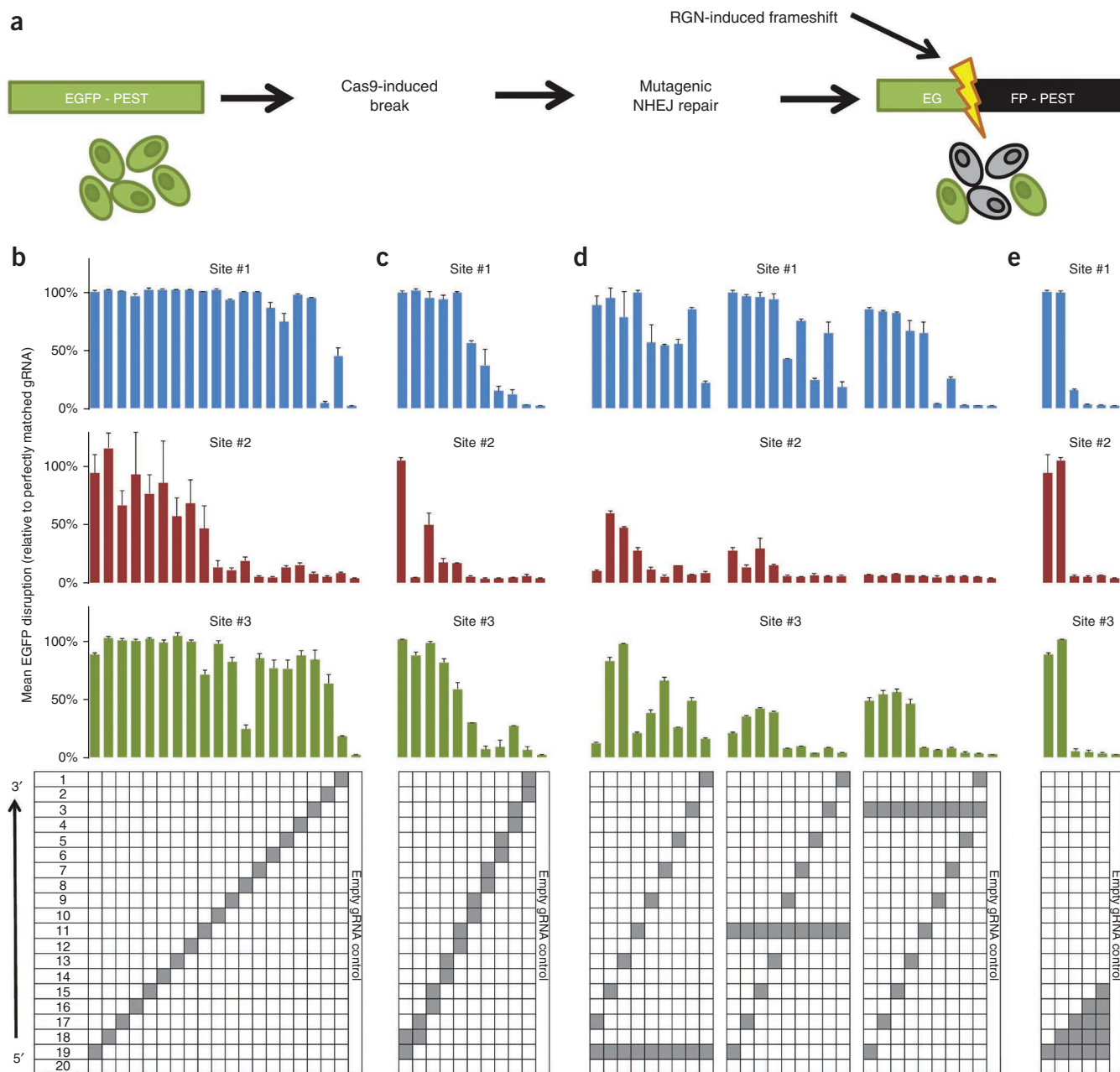
To begin to define the determinants of the specificity of RGNs in human cells, we carried out a large-scale test in which we assessed the effects of systematically mismatching various positions within multiple gRNA-target DNA interfaces. To do this, we used a quantitative human cell-based EGFP disruption assay, previously described by our laboratory<sup>15</sup>, that enables rapid quantification of targeted nuclease activities (Fig. 1a). In this assay, the activities of nucleases targeted to a single integrated EGFP reporter gene can be quantified by assessing loss of fluorescence signal in human U2OS.EGFP cells caused by inactivating frameshift insertion/deletion (indel) mutations introduced by error prone nonhomologous end-joining (NHEJ) repair of nuclease-induced double-stranded breaks (Fig. 1a and Online Methods). We used three ~100-nt single gRNAs (sgRNAs) targeted to different sequences within EGFP (Supplementary Fig. 2); each of these sgRNAs efficiently directed Cas9-mediated disruption of EGFP expression (Supplementary Results).

In initial experiments, we tested the effects of single-nucleotide mismatches at 19 of 20 nucleotides in the complementary targeting region of our three EGFP-targeted sgRNAs. We generated variant sgRNAs for each of the three target sites harboring Watson-Crick transversion mismatches at positions 1–19 (numbered 1–20 in the 3' to 5' direction; Supplementary Fig. 1) and tested whether these various sgRNAs directed Cas9-mediated EGFP disruption in human cells. (We did not generate variant sgRNAs bearing a substitution at position 20 because this nucleotide is part of the U6 promoter sequence and therefore must remain a guanine to avoid affecting expression.) For EGFP target site no. 2, single mismatches in positions 1–10 of the sgRNA had dramatic effects on associated Cas9 activity (Fig. 1b, middle panel), consistent with previous studies that suggest mismatches at the 5' end of gRNAs are better tolerated than those at the 3' end<sup>7,10,14</sup>. However, with EGFP target sites nos. 1 and 3, single mismatches at all but a few positions in the sgRNA appeared to be well tolerated, even within the 3' end of the sequence. Furthermore, the specific positions that were sensitive to mismatch differed for these two targets (Fig. 1b, compare top and bottom panels); for example, target site no. 1 was particularly sensitive to a mismatch at position 2, whereas target site no. 3 was most sensitive to mismatches at positions 1 and 8.

<sup>1</sup>Molecular Pathology Unit, Massachusetts General Hospital, Charlestown, Massachusetts, USA. <sup>2</sup>Center for Cancer Research, Massachusetts General Hospital, Charlestown, Massachusetts, USA. <sup>3</sup>Center for Computational and Integrative Biology, Massachusetts General Hospital, Charlestown, Massachusetts, USA.

<sup>4</sup>Department of Pathology, Harvard Medical School, Boston, Massachusetts, USA. <sup>5</sup>Program in Biological and Biomedical Sciences, Harvard Medical School, Boston, Massachusetts, USA. Correspondence should be addressed to J.K.J. (jjoung@partners.org) or J.D.S. (jsander@partners.org).

Received 1 April; accepted 3 June; published online 23 June 2013; doi:10.1038/nbt.2623



**Figure 1** Activities of RGNs harboring variant mismatched sgRNAs in a human cell-based EGFP disruption assay. **(a)** Schematic overview of the EGFP disruption assay. Repair of targeted Cas9-mediated double-stranded breaks in a single integrated *EGFP-PEST* reporter gene by error-prone NHEJ-mediated repair leads to frameshift mutations that disrupt the coding sequence and to associated loss of fluorescence in cells. **(b–e)** Activities of RGNs harboring sgRNAs bearing single mismatches **(b)**, adjacent double mismatches **(c)**, variably spaced double mismatches **(d)** and increasing numbers of adjacent mismatches **(e)**, assayed on three different target sites in the *EGFP* reporter gene sequence. Mean activities of replicates are shown, normalized to the activity of a perfectly matched sgRNA. Error bars, mean  $\pm$  s.e.m. Positions mismatched in each sgRNA are highlighted in gray in the grid below. Sequences of the three *EGFP* target sites are shown in **Supplementary Figure 2a**.

To test the effects of more than one mismatch at the sgRNA/DNA interface, we created a series of variant sgRNAs bearing double Watson–Crick transversion mismatches in adjacent (**Fig. 1c**) and separated (**Fig. 1d**) positions and tested the ability of these to direct Cas9 nuclease activity in human cells using our EGFP disruption assay. All three target sites generally showed greater sensitivity to double alterations in which one or both mismatches occurred within the 3' half of the sgRNA targeting region (**Fig. 1c,d**). However, the magnitude of these effects exhibited site-specific variation, with target site no. 2

showing the greatest sensitivity to these double mismatches and target site no. 1 generally showing the least (**Fig. 1c,d**). To test the number of adjacent mismatches that can be tolerated, we constructed variant sgRNAs bearing increasing numbers of mismatched positions ranging from positions 19 to 15 in the 5' end of the sgRNA targeting region (where single and double mismatches appear to be better tolerated) (**Fig. 1e**). Testing of these increasingly mismatched sgRNAs revealed that for all three target sites, the introduction of three or more adjacent mismatches resulted in significant loss of RGN activity (**Fig. 1e**).

**Table 1** On- and off-target mutations induced by RGNs designed to endogenous human genes

Target	Site name	Sequence	Indel mutation frequency (%) ± s.e.m.			Gene
			U2OS.EGFP	HEK293	K562	
Target 1 ( <i>VEGFA</i> site 1)	T1	GGGTGGGGGAGTTTGCTCCTGG	26.0 ± 2.9	10.5 ± 0.07	3.33 ± 0.42	<i>VEGFA</i>
	OT1-3	GG <b>A</b> TGGAGGAGTTTGCCTCCTGG	25.7 ± 9.1	18.9 ± 0.77	2.93 ± 0.04	<i>IGDCC3</i>
	OT1-4	GGG <b>A</b> GGG <b>T</b> GGAGTTTGCCTCCTGG	9.2 ± 0.8	8.32 ± 0.51	N.D.	<i>LOC116437</i>
	OT1-6	CGGG <b>G</b> AGGAGTTTGCTCCTGG	5.3 ± 0.2	3.67 ± 0.09	N.D.	<i>CACNA2D</i>
	OT1-11	GGG <b>G</b> AGGG <b>A</b> AGTTTGCTCCTGG	17.1 ± 4.7	8.54 ± 0.16	N.D.	
Target 2 ( <i>VEGFA</i> site 2)	T2	GACCCCTCCACCCCGCCTCCGG	50.2 ± 4.9	38.6 ± 1.92	15.0 ± 0.25	<i>VEGFA</i>
	OT2-1	GACCC <b>C</b> CCACCCCGCCTCCGG	14.4 ± 3.4	33.6 ± 1.17	4.10 ± 0.05	<i>FMN1</i>
	OT2-2	GGG <b>C</b> CCCTCCACCCCGCCTCCTGG	20.0 ± 6.2	15.6 ± 0.30	3.00 ± 0.06	<i>PAX6</i>
	OT2-6	<b>C</b> TACCCCTCCACCCCGCCTCCGG	8.2 ± 1.4	15.0 ± 0.64	5.24 ± 0.22	<i>PAPD7</i>
	OT2-9	G <b>C</b> CCCTCCACCCCGCCTCCTGG	50.7 ± 5.6	30.7 ± 1.44	7.05 ± 0.48	<i>LAMA3</i>
	OT2-15	TACCC <b>C</b> ACCCCGCCTCCTGG	9.7 ± 4.5	6.97 ± 0.10	1.34 ± 0.15	<i>SPNS3</i>
	OT2-17	<b>A</b> CACCCCTCCACCCCGCCTCAGG	14.0 ± 2.8	12.3 ± 0.45	1.80 ± 0.03	
	OT2-19	<b>A</b> TTCCCTCCACCCCGCCTCAGG	17.0 ± 3.3	19.4 ± 1.35	N.D.	<i>HDLBP</i>
	OT2-20	<b>C</b> CCCTCCACCCCGCCTCAGG	6.1 ± 1.3	N.D.	N.D.	<i>ABLIM1</i>
	OT2-23	<b>C</b> GCCCTCCACCCCGCCTCCGG	44.4 ± 6.7	28.7 ± 1.15	4.18 ± 0.37	<i>CALY</i>
	OT2-24	<b>C</b> TCCCTCCACCCCGCCTCAGG	62.8 ± 5.0	29.8 ± 1.08	21.1 ± 1.68	
	OT2-29	<b>T</b> GCCCTCCACCCCGCCTCCTGG	13.8 ± 5.2	N.D.	N.D.	<i>ACLY</i>
	OT2-34	<b>A</b> GGCCCTCCACCCCGCCTCAGG	2.8 ± 1.5	N.D.	N.D.	
	Target 3 ( <i>VEGFA</i> site 3)	T3	GGTGAGTGAGTGTGTGCGTGTTGG	49.4 ± 3.8	35.7 ± 1.26	27.9 ± 0.52
OT3-1		GGTGAGTGAGTGTGT <b>T</b> GTGAGG	7.4 ± 3.4	8.97 ± 0.80	N.D.	( <i>abParts</i> )
OT3-2		<b>A</b> GTGAGTGAGTGTGTGTGGGG	24.3 ± 9.2	23.9 ± 0.08	8.9 ± 0.16	<i>MAX</i>
OT3-4		G <b>C</b> TGAGTGAGTGT <b>A</b> TGCGTGTTGG	20.9 ± 11.8	11.2 ± 0.23	N.D.	
OT3-9		GGTGAGTGAGTGT <b>C</b> GTGCGGTTGG	3.2 ± 0.3	2.34 ± 0.21	N.D.	<i>TPCN2</i>
OT3-17		G <b>T</b> TGAGTGA <b>A</b> TGTGTGCGTGAGG	2.9 ± 0.2	1.27 ± 0.02	N.D.	<i>SLIT1</i>
OT3-18		<b>T</b> GTGGTGAGTGTGTGCGTGAGG	13.4 ± 4.2	12.1 ± 0.24	2.42 ± 0.07	<i>COMDA</i>
OT3-20		<b>A</b> GAGAGTGAGTGTGTG <b>A</b> TGAGG	16.7 ± 3.5	7.64 ± 0.05	1.18 ± 0.01	
Target 4 ( <i>EMX1</i> )	T4	GAGTCCGAGCAGAAGAAGAAGGG	42.1 ± 0.4	26.0 ± 0.70	10.7 ± 0.50	<i>EMX1</i>
	OT4-1	GAGT <b>A</b> GAGCAGAAGAAGAAGGG	16.8 ± 0.2	8.43 ± 1.32	2.54 ± 0.02	<i>HCN1</i>
Target 5 ( <i>RNF2</i> )	T5	GTCATCTTAGTCATTACCTGTGG	26.6 ± 6.0	—	—	<i>RNF2</i>
Target 6 ( <i>FANCF</i> )	T6	GGAATCCCTTCTGCAGCACCAGG	33.2 ± 6.5	—	—	<i>FANCF</i>

OT indicates off-target sites (with numbering of sites as in **Supplementary Table 2**). Mismatches from the on-target (within the 20 bp region to which the sgRNA hybridizes) are boldface and underlined. Mean indel mutation frequencies in U2OS.EGFP, HEK293 and K562 cells were determined as described in Online Methods. Genes in which sites were located (if any) are shown. All sites listed failed to show any evidence of modification in cells transfected with Cas9 expression plasmid and a control U6 promoter plasmid that did not express a functional sgRNA. N.D., none detected; —, not tested.

Taken together, our results in human cells confirm that the activities of RGNs were more sensitive to mismatches in the 3' half of the sgRNA targeting sequence. However, our data also clearly revealed that the specificity of RGNs is complex and target site-dependent, with single and double mismatches often well tolerated even when one or more mismatches occurred in the 3' half of the sgRNA targeting region. Furthermore, our data also suggest that not all mismatches in the 5' half of the sgRNA/DNA interface were necessarily well tolerated.

We next determined whether we could identify off-target mutations for RGNs targeted to endogenous human genes. We used six sgRNAs that target three different sites in the *VEGFA* gene, one in the *EMX1* gene, one in the *RNF2* gene and one in the *FANCF* gene (**Table 1** and **Supplementary Table 1**). These six sgRNAs efficiently induced Cas9-mediated indels at their respective endogenous loci in human U2OS.EGFP cells as detected by the T7 endonuclease I (T7EI) assay (Online Methods and **Table 1**). For each of these six RGNs, we then examined dozens of potential off-target sites (ranging in number from 46 to as many as 64) for evidence of nuclease-induced NHEJ-mediated indel mutations in U2OS.EGFP cells. The loci we assessed included all genomic sites that differ by one or two nucleotides as well as subsets of genomic sites that differ by three to six nucleotides and with a bias toward those that had one or more of these mismatches in the 5' half of the sgRNA targeting sequence (**Supplementary Table 2**). Using the T7EI assay, we readily identified 4 off-target sites (out of 53

candidate sites examined) for *VEGFA* site 1, 12 (out of 46 examined) for *VEGFA* site 2, 7 (out of 64 examined) for *VEGFA* site 3, and 1 (out of 46 examined) for the *EMX1* site (**Table 1** and **Supplementary Table 2**). No off-target mutations were detected among the 43 and 50 potential sites examined for the *RNF2* or *FANCF* genes, respectively (**Supplementary Table 2**). The rates of mutation at verified off-target sites were very high, ranging from 5.6% to 125% (mean of 40%) of the rate observed at the intended target site (**Table 1**). These bona fide off-target sites included sequences with mismatches in the 3' end of the target site and with as many as a total of five mismatches, with most off-target sites occurring within protein coding genes (**Table 1**). DNA sequencing of a subset of off-target sites provided additional molecular confirmation that indel mutations occur at the expected RGN cleavage site (**Supplementary Fig. 3**).

Having established that RGNs can induce off-target mutations with high frequencies in U2OS.EGFP cells, we determined whether these nucleases would also have these effects in other types of human cells. We had chosen U2OS.EGFP cells for our initial experiments because we previously used these cells to evaluate the activities of transcription activator-like effector nucleases (TALENs)<sup>15</sup> but human HEK293 and K562 cells have been more widely used to test the activities of targeted nucleases. Therefore, we also assessed the activities of the four RGNs targeted to *VEGFA* sites 1–3 and the *EMX1* site in HEK293 and K562 cells. We found that each of these four RGNs efficiently

**Table 2** Indel mutation frequencies at on- and off-target genomic sites induced by different amounts of Cas9- and sgRNA-expressing plasmids for the RGN targeted to *VEGFA* target site 2

Site name	Sequence	250 ng sgRNA/ 750 ng Cas9	12.5 ng sgRNA/ 50 ng Cas9
		Mean indel frequency (%) ± s.e.m.	Mean indel frequency (%) ± s.e.m.
T2 (on-target)	GACCCCTCCACCCCGCCTCCGG	50.2 ± 4.9	25.4 ± 4.8
OT2-1	GACCCCC <b>CC</b> ACCCCGCC <b>CC</b> CGG	14.4 ± 3.4	4.2 ± 0.2
OT2-2	<b>GGG</b> CCCTCCACCCCGCCTCTGG	20.0 ± 6.2	9.8 ± 1.1
OT2-6	<b>CTA</b> CCCTCCACCCCGCCTCCGG	8.2 ± 1.4	6.0 ± 0.5
OT2-9	<b>G</b> CCCC <b>AC</b> CCACCCCGCCTCTGG	50.7 ± 5.6	16.4 ± 2.1
OT2-15	<b>T</b> ACCC <b>CA</b> CCACCCCGCCTCTGG	9.7 ± 4.5	2.1 ± 0.0
OT2-17	<b>ACA</b> CCCC <b>CC</b> ACCCCGCCTCAGG	14.0 ± 2.8	7.1 ± 0.0
OT2-19	<b>ATT</b> CCCC <b>CC</b> ACCCCGCCTCAGG	17.0 ± 3.3	9.2 ± 0.4
OT2-20	<b>CCC</b> ACCC <b>CC</b> ACCCCGCCTCAGG	6.1 ± 1.3	N.D.
OT2-23	<b>CG</b> CC <b>TC</b> CCACCCCGCCTCCGG	44.4 ± 6.7	35.1 ± 1.8
OT2-24	<b>CT</b> CC <b>CC</b> ACCCCGCCTCAGG	62.8 ± 5.0	44.1 ± 4.5
OT2-29	<b>TG</b> CC <b>CT</b> CCACCCCGCCTCTGG	13.8 ± 5.2	5.0 ± 0.2
OT2-34	<b>AGG</b> CC <b>CC</b> ACCCCGCCTCAGG	2.8 ± 1.5	N.D.

Amounts of sgRNA- and Cas9-expressing plasmids transfected into U2OS.EGFP cells for these assays are shown at the top of each column. (Note that data for 250 ng sgRNA/750 ng Cas9 are the same as those presented in **Table 1**.) Mean indel frequencies were determined using the T7EI assay from replicate samples, as described in Online Methods. OT, off-target sites, numbered as in **Table 1** and **Supplementary Table 2**. Mismatches from the on-target site (within the 20 bp region to which the gRNA hybridizes) are boldface and underlined. N.D., none detected.

induced NHEJ-mediated indel mutations at their intended on-target site in these two additional human cell lines (as assessed by the T7EI assay; **Table 1**), albeit with somewhat lower mutation frequencies than those observed in U2OS.EGFP cells. Assessment of the 24 off-target sites for these four RGNs originally identified in U2OS.EGFP cells revealed that many were also mutated in HEK293 and K562 cells with frequencies similar to those at their corresponding on-target site (**Table 1**). As expected, DNA sequencing of a subset of these off-target sites from HEK293 cells provided additional molecular evidence that alterations are occurring at the expected genomic loci (**Supplementary Fig. 4**). We do not know for certain why in HEK293 cells 4 and, in K562 cells, 11 of the off-target sites identified in U2OS.EGFP cells did not show detectable mutations. However, we note that many of these off-target sites also showed relatively lower mutation frequencies in U2OS.EGFP cells. Therefore, we speculate that mutation rates of these sites in HEK293 and K562 cells may be falling below the reliable detection limit of our T7EI assay (~2–5%) because RGNs generally appear to have lower activities in HEK293 and K562 cells compared with U2OS.EGFP cells in our experiments. Taken together, our results in HEK293 and K562 cells provide evidence that the high-frequency off-target mutations we observe with RGNs will be a general phenomenon seen in multiple human cell types.

Our results reveal that predicting the specificity profile of any given RGN is neither simple nor straightforward. In our *EGFP* reporter assay experiments, single and double mismatches had variable effects on RGN activity in human cells that did not strictly depend upon their position(s) within the target site. For example, consistent with previously published reports<sup>7,10,14</sup>, alterations in the 3' half of the sgRNA-DNA interface generally had greater effects than those in the 5' half; however, single and double mutations in the 3' end sometimes also appeared to be well tolerated, whereas double mutations in the 5' end sometimes showed greatly diminished activities. In addition, the magnitude of these effects for mismatches at any given position(s) appeared to be site-dependent. Comprehensive profiling of a large series of RGNs with testing of all possible nucleotide substitutions (beyond the Watson-Crick transversions used in our *EGFP* reporter experiments) may help provide additional insights into the range of potential off-target sites. In this regard, the bacterial cell-based

method<sup>7</sup> or the *in vitro* combinatorial library-based cleavage site-selection methodologies previously applied to zinc finger nucleases (ZFNs)<sup>16</sup> might be useful for generating larger sets of RGN specificity profiles.

Despite these challenges in comprehensively predicting RGN specificities, we identified bona fide off-target sites of RGNs with relative ease simply by examining a subset of genomic sites that differed from the on-target site by one to five mismatches. Notably, under the conditions of our experiments, the frequencies of RGN-induced mutations at many of these off-target sites were similar to (or higher than) those observed at the intended on-target site, enabling us to identify them using the simple and relatively insensitive T7EI assay (which, as done in our laboratory, has a reliable detection limit of ~2–5% mutation frequency). Because these mutation rates were very high, we did not need to use deep sequencing methods previously required to detect much lower frequency ZFN- and

TALEN-induced off-target alterations<sup>16–19</sup>. Our analysis of RGN off-target mutagenesis in human cells also confirmed the difficulties of predicting RGN specificities—not all single and double mismatched off-target sites show evidence of mutation whereas some sites with as many as five mismatches can also show alterations. Furthermore, the bona fide off-target sites we identified did not exhibit any obvious bias toward transition or transversion differences relative to the intended target sequence (**Supplementary Table 2**).

Although we have unveiled off-target sites for a number of RGNs, we note that our identification of these sites was neither comprehensive nor genome-wide in scale. For the six RGNs we studied, we examined only a very small subset of the much larger total number of potential off-target sequences in the human genome (sites that differ by three to six nucleotides from the intended target site; compare **Supplementary Tables 2** and **3**). Although examining such large numbers of loci for off-target mutations by the T7EI assay is neither a practical nor a cost-effective strategy, the use of high-throughput sequencing in future studies might enable the interrogation of larger numbers of candidate off-target sites and provide a more sensitive method for detecting bona fide off-target mutations. For example, such an approach might enable the unveiling of additional off-target sites for the two RGNs for which we failed to uncover any off-target mutations. In addition, an improved understanding of both RGN specificities and any epigenomic factors (e.g., DNA methylation and chromatin status) that may influence RGN activities in cells might also reduce the number of potential sites that need to be examined and thereby make genome-wide assessments of RGN off-target sites more practical and affordable.

It will be interesting to investigate whether the specific choice of RGN target site can be used to minimize the frequencies of genomic off-target mutations. Given that off-target sites which differ at up to five positions from the intended target site can be efficiently mutated by RGNs, attempting to choose target sites with minimal numbers of off-target sites as judged by simple mismatch counting seems unlikely to be effective; thousands of potential off-target sites that differ by four or five positions within the 20-bp RNA:DNA complementarity region will typically exist for any given RGN targeted to a sequence in the human genome (**Supplementary Table 3**). It is also possible that

the nucleotide content of the gRNA complementarity region might influence the range of potential off-target effects. For example, high GC-content has been shown to stabilize RNA:DNA hybrids<sup>20</sup> and therefore might also be expected to make gRNA–genomic DNA hybrids more stable and more tolerant to mismatches. Additional experiments with larger numbers of gRNAs will be needed to assess if and how these two parameters (numbers of mismatched sites in the genome and stability of the RNA:DNA hybrid) influence the genome-wide specificities of RGNs. However, it is important to note that even if such predictive parameters can be defined, the effect of implementing such guidelines would be to further restrict the targeting range of RGNs.

Another potential general strategy for reducing RGN-induced off-target effects might be to reduce the concentrations of gRNA and Cas9 nuclease expressed in the cell. We tested this idea using the RGNs for *VEGFA* target sites 2 and 3 in U2OS.EGFP cells, but found that transfecting lower amounts of sgRNA- and Cas9-expressing plasmids decreased the mutation rate at the on-target site but did not appreciably change the relative rates of off-target mutations (Table 2 and Supplementary Table 4). Consistent with this, we note that we also observed high-level off-target mutagenesis in two other human cell types (HEK293 and K562 cells) even though the absolute rates of on-target mutagenesis were lower than in U2OS.EGFP cells. Although additional work is clearly needed to further explore this strategy, these initial experiments suggest that reducing expression levels of gRNA and Cas9 in cells is not likely to provide a simple solution for reducing off-target effects. Furthermore, these results also suggest that the high rates of off-target mutagenesis we observe in human cells are not caused by overexpression of sgRNA and/or Cas9.

Our finding that significant off-target mutagenesis can be induced by RGNs in three different human cell types has important implications for broader use of this genome-editing platform. For research applications, the potentially confounding effects of high-frequency off-target mutations will need to be considered, particularly for experiments involving either cultured cells or organisms with slow generation times for which the outcrossing of undesired alterations would be challenging. One way to control for such effects might be to utilize multiple RGNs targeted to different DNA sequences to induce the same genomic alteration as off-target effects are not random but instead related to the targeted site. However, for therapeutic applications, our findings clearly indicate that the specificities of RGNs will need to be carefully defined and/or improved if these nucleases are to be used safely in the longer term for treatment of human diseases.

## METHODS

Methods and any associated references are available in the [online version of the paper](#).

Note: Supplementary information is available in the [online version of the paper](#).

## ACKNOWLEDGMENTS

This work was supported by a US National Institutes of Health (NIH) Director's Pioneer Award DP1 GM105378, NIH R01 GM088040, NIH P50 HG005550, Defense Advanced Research Projects Agency (DARPA) W911NF-11-2-0056, and the Jim and Ann Orr Massachusetts General Hospital Research Scholar Award. We thank S.Q. Tsai for helpful discussions and encouragement.

## AUTHOR CONTRIBUTIONS

Y.F., J.D.S. and J.K.J. designed experiments; Y.F., J.A.F., C.K., M.L.M., D.R. and J.D.S. performed experiments; Y.F., M.L.M., D.R., J.D.S. and J.K.J. wrote the manuscript.

## COMPETING FINANCIAL INTERESTS

The authors declare competing financial interests: details are available in the [online version of the paper](#).

Reprints and permissions information is available online at <http://www.nature.com/reprints/index.html>.

- Wiedenheft, B., Sternberg, S.H. & Doudna, J.A. RNA-guided genetic silencing systems in bacteria and archaea. *Nature* **482**, 331–338 (2012).
- Horvath, P. & Barrangou, R. CRISPR/Cas, the immune system of bacteria and archaea. *Science* **327**, 167–170 (2010).
- Terns, M.P. & Terns, R.M. CRISPR-based adaptive immune systems. *Curr. Opin. Microbiol.* **14**, 321–327 (2011).
- Wang, H. *et al.* One-step generation of mice carrying mutations in multiple genes by CRISPR/Cas-mediated genome engineering. *Cell* **153**, 910–918 (2013).
- Shen, B. *et al.* Generation of gene-modified mice via Cas9/RNA-mediated gene targeting. *Cell Res.* **23**, 720–723 (2013).
- DiCarlo, J.E. *et al.* Genome engineering in *Saccharomyces cerevisiae* using CRISPR-Cas systems. *Nucleic Acids Res.* **41**, 4336–4343 (2013).
- Jiang, W., Bikard, D., Cox, D., Zhang, F. & Marraffini, L.A. RNA-guided editing of bacterial genomes using CRISPR-Cas systems. *Nat. Biotechnol.* **31**, 233–239 (2013).
- Jinek, M. *et al.* RNA-programmed genome editing in human cells. *Elife* **2**, e00471 (2013).
- Hwang, W.Y. *et al.* Efficient genome editing in zebrafish using a CRISPR-Cas system. *Nat. Biotechnol.* **31**, 227–229 (2013).
- Cong, L. *et al.* Multiplex genome engineering using CRISPR/Cas systems. *Science* **339**, 819–823 (2013).
- Mali, P. *et al.* RNA-guided human genome engineering via Cas9. *Science* **339**, 823–826 (2013).
- Cho, S.W., Kim, S., Kim, J.M. & Kim, J.S. Targeted genome engineering in human cells with the Cas9 RNA-guided endonuclease. *Nat. Biotechnol.* **31**, 230–232 (2013).
- Gratz, S.J. *et al.* Genome engineering of *Drosophila* with the CRISPR RNA-guided Cas9 nuclease. *Genetics* doi:10.1534/genetics.113.152710 (24 May 2013).
- Jinek, M. *et al.* A programmable dual-RNA-guided DNA endonuclease in adaptive bacterial immunity. *Science* **337**, 816–821 (2012).
- Reyon, D. *et al.* FLASH assembly of TALENs for high-throughput genome editing. *Nat. Biotechnol.* **30**, 460–465 (2012).
- Pattanayak, V., Ramirez, C.L., Joung, J.K. & Liu, D.R. Revealing off-target cleavage specificities of zinc-finger nucleases by *in vitro* selection. *Nat. Methods* **8**, 765–770 (2011).
- Perez, E.E. *et al.* Establishment of HIV-1 resistance in CD4<sup>+</sup> T cells by genome editing using zinc-finger nucleases. *Nat. Biotechnol.* **26**, 808–816 (2008).
- Gabriel, R. *et al.* An unbiased genome-wide analysis of zinc-finger nuclease specificity. *Nat. Biotechnol.* **29**, 816–823 (2011).
- Hockemeyer, D. *et al.* Genetic engineering of human pluripotent cells using TALE nucleases. *Nat. Biotechnol.* **29**, 731–734 (2011).
- Sugimoto, N. *et al.* Thermodynamic parameters to predict stability of RNA/DNA hybrid duplexes. *Biochemistry* **34**, 11211–11216 (1995).

## ONLINE METHODS

**Construction of guide RNAs.** DNA oligonucleotides (**Supplementary Table 1**) harboring variable 20-nt sequences for Cas9 targeting were annealed to generate short double-strand DNA fragments with 4-bp overhangs compatible with ligation into BsmBI-digested plasmid pMLM3636. Cloning of these annealed oligonucleotides generates plasmids encoding a chimeric 103 nt single-chain guide RNA with 20-variable 5' nucleotides under expression of a U6 promoter<sup>9,11</sup>. pMLM3636 and the expression plasmid pJDS246 (encoding a codon optimized version of Cas9) used in this study are both available through the nonprofit plasmid distribution service Addgene (<http://www.addgene.org/crispr-cas>).

**EGFP activity assays.** U2OS.EGFP cells harboring a single integrated copy of an *EGFP-PEST* fusion gene were cultured as previously described<sup>15</sup>. For transfections, 200,000 cells were transfected by Nucleofector with the indicated amounts of sgRNA expression plasmid and pJDS246 together with 30 ng of a Td-tomato-encoding plasmid using the SE Cell Line 4D-Nucleofector X Kit (Lonza) according to the manufacturer's protocol. Cells were analyzed 2 d after transfection using a BD LSRII flow cytometer. Transfections for optimizing gRNA-Cas9 plasmid concentration were done in triplicate and all other transfections were done in duplicate.

**PCR amplification and sequence verification of endogenous human genomic sites.** PCR reactions were done using Phusion Hot Start II high-fidelity DNA polymerase (New England Biolabs), with PCR primers and conditions listed in **Supplementary Table 2**. Most loci amplified successfully using touchdown PCR ((98 °C, 10 s; 72–62 °C, –1 °C/cycle, 15 s; 72 °C, 30 s) 10 cycles, (98 °C, 10 s; 62 °C, 15 s; 72 °C, 30 s) 25 cycles). PCR for the remaining targets was done with 35 cycles at a constant annealing temperature of 68 °C or 72 °C and 3% DMSO or 1 M betaine, if necessary. PCR products were analyzed on a QIAXCEL capillary electrophoresis system to verify both size and purity. Validated products were treated with ExoSap-IT (Affymetrix)

and sequenced by the Sanger method (MGH DNA Sequencing Core) to verify each target site.

**Determination of RGN-induced on- and off-target mutation frequencies in human cells.** For U2OS.EGFP and K562 cells,  $2 \times 10^5$  cells were transfected with 250 ng of sgRNA expression plasmid or an empty U6 promoter plasmid (for negative controls), 750 ng of Cas9 expression plasmid and 30 ng of td-Tomato expression plasmid using the 4D Nucleofector System according to the manufacturer's instructions (Lonza). For HEK293 cells,  $1.65 \times 10^5$  cells were transfected with 125 ng of sgRNA expression plasmid or an empty U6 promoter plasmid (for the negative control), 375 ng of Cas9 expression plasmid, and 30 ng of a td-Tomato expression plasmid using Lipofectamine LTX reagent according to the manufacturer's instructions (Life Technologies). Genomic DNA was harvested 2 d after transfection from U2OS.EGFP, HEK293 or K562 cells using the QIAamp DNA Blood Mini Kit (QIAGEN), according to the manufacturer's instructions. To generate enough genomic DNA to amplify the off-target candidate sites, DNA from three Nucleofector transfections (for U2OS.EGFP cells), two Nucleofector transfections (for K562 cells) or two Lipofectamine LTX transfections was pooled together before performing T7EI. This was done twice for each condition tested, thereby generating duplicate pools of genomic DNA representing a total of four or six individual transfections. PCR was then done using these genomic DNAs as templates as described above and purified using Ampure XP beads (Agencourt) according to the manufacturer's instructions. T7EI assays were done as previously described<sup>15</sup>.

**DNA sequencing of NHEJ-mediated indel mutations.** Purified PCR products used for the T7EI assay were cloned into Zero Blunt TOPO vector (Life Technologies) and plasmid DNAs were isolated using an alkaline lysis miniprep method by the Massachusetts General Hospital (MGH) DNA Automation Core. Plasmids were sequenced using an M13 forward primer (5'-GTAAAACGACGGCCAG-3') by the Sanger method (MGH DNA Sequencing Core).